

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5

09/928977
08/13/01

Applicants	:	Caplan, et al.)	Group Art Unit UNKNOWN
)	
App. No.	:	UNKNOWN)	
)	
Filed	:	HEREWITH)	
)	
For	:	HOSTED SERVICES FOR)	
		COLLECTING PAYMENTS)	
		FROM AND PROVIDING)	
		PERSONALIZED CONTENT)	
		TO WEB SITE VISITORS)	
)	
Examiner	:	UNKNOWN)	
)	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing twenty-three (23) references, twenty-two of which are enclosed. Applicants' description of the remaining listed reference follows below.

Single-action purchases of items sold by other users

More than one year prior to Applicants' October 30, 2000 priority date, it was possible for customers of the Amazon.com web site to purchase items offered for sale by other users with a single mouse click. Specifically, when a recognized visitor having the 1-Click feature turned on would visit a "zShops" detail page for an item offered for sale by another user, the page would be customized with an identifier of the visitor and a 1-Click button. Selection of the 1-Click button would cause the visitor's credit card to be charged, and would cause the seller's account to be credited.

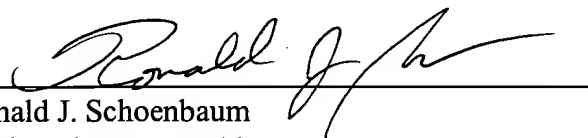
Appl. No. : UNKNOWN
Filed : HERewith

This Information Disclosure Statement is being filed within three months of the filing date of this application or upon filing if this is a CPA or RCE, and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 8-13-01

By: 
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AMAZON.062A3	APPLICATION NO. UNKNOWN
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANTS Caplan, et al.	
		FILING DATE HEREWITH	GROUP ART UNIT UNKNOWN

16978 U.S. PTO
09/28/97
08/13/01

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
		5,692,132	11/25/97	Hogan			
		5,751,956	05/12/98	Kirsch			
		5,757,917	05/26/98	Rose, et al.			
		5,815,665	09/29/98	Teper, et al.			
		5,826,241	10/20/98	Stein, et al.			
		5,948,061	09/07/99	Merriman, et al.			
		5,960,411	09/28/99	Hartman, et al.			
		5,963,915	10/05/99	Kirsch			
		5,991,740	11/23/99	Messer			
		6,016,504	01/18/00	Arnold, et al.			
		6,029,141	02/22/00	Bezos, et al.			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	✓	WO 01/41419 A1	06/07/01	PCT				
	✓	WO 01/27782 A1	04/19/01	PCT				
	✓	WO 98/58334	12/23/98	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	✓	Kelsey, J., and Schneier, B., "Electronic Commerce and the Street Performer Protocol," In the Third USENIX Workshop on Electronic Commerce Proceedings, USENIX Press, 9 pages, dated November 1998 (as downloaded from www.counterpane.com/street_performer.pdf)
	✓	Cox, B., Tygar, J.D., and Sirbu, M., "Netbill Security and Transaction Protocol," In Proceedings of the First USENIX Workshop on Electronic Commerce, 13 pages, dated July 1995.
	✓	Tang, L., "A Set of Protocols for Micropayments in Distributed Systems," In Proceedings of the First USENIX Workshop on Electronic Commerce, 10 pages, dated July 1995.
	✓	Manasse, M., "The Millicent protocols for electronic commerce," In Proceedings of the First USENIX Workshop on Electronic Commerce, 7 pages, dated July 1995.
	✓	Udell, J., "PayPal, Orbiscom, Amex - More E-Payment Alternatives," BYTE.com, dated October 11, 2000 (12 pages printed from byte.com site).
	✓	Davis, J., "Content Sites Find Possible Revenue Source," Infoworld, January 8, 2001, page 31.
	✓	"Linkshare Launches Affiliates Profiling Software; First to Integrate Personalization Software Into Affiliates Program," PR Newswire Association Inc., dated February 24, 1998 (2 pages).
X		Description in attached IDS of "Single-action purchases of items sold by other users" feature of Amazon.com web site

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. AMAZON.062A3	APPLICATION NO. UNKNOWN
	APPLICANTS Caplan, et al.	
	FILING DATE HEREWITH	GROUP ART UNIT UNKNOWN

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
✓	Co-pending Appl. No. 09/312,309, filed May 14, 1999 by Britto, Cardwell, Young, Peddy, Blakey, Lee and Ringewald; initially assigned to Accept.Com, Inc. and subsequently acquired by Amazon.com, Inc.

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	